Automata Giving Small Certificates for Large Solutions

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The Connoisseur of Number Sequences



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Neil Sloane (*1939)

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The On-Line Encyclopedia of Integer Sequences® (OEIS®)

Enter a sequence, word, or sequence number:

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Numberphile



Problems with Powers of Two Problem

Given a set of integers S, denote by b(S) the number of powers of two that can be obtained as the sum of two elements of S.

Examples:

•
$$S = \{1, 3\}, \ b(S) = 1$$

•
$$S = \{-1, 3, 5\}, \ b(S) = 3$$

•
$$S = \{-3, -1, 3, 5\}, \ b(S) = 4$$

Problems with Powers of Two Problem

Denote by a(n) the largest value of b(S) that can be achieved for a set S with n elements.

n	1	2	3	4	5	6	7	8	9	10	11	12
a(n)	0	1	3	4	6	7	9	11	13	15	17	19

Largest known value: a(18) = 34Upper bound: $a(n) \le \frac{n}{4} \cdot \sqrt{4n-3} + 1$

OEIS A352178

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Search Hints

(Greetings from The On-Line Encyclopedia of Integer Sequences!)

A352178	Let $S = \{t_1, t_2,, t_n\}$ be a set of n distinct integers and consider the sums $t_i + t_j$ (i <j); <sup="" a(n)="" is="">4 the maximum number of such sums that are powers of 2, over all choices for S.</j);>								
0, 1, 3, 4 <u>format</u>)	4, 6, 7, 9, 11, 13, 15, 17, 19, 21, 24, 26, 29, 31, 34 (<u>list; graph; refs; listen; history; text; internal</u>								
OFFSET	1,3								
COMMENTS	<pre>Given distinct integers t_1,, t_n, form a graph G with n vertices labeled by the t_i, and with an edge from t_i to t_j, labeled t_i + t_j, whenever t_i + t_j is a power of 2. See the Pratt link for the best lower bounds known, and examples of sets achieving these bounds, for 1 <= n <= 100 N. J. A. Sloane, Sep 26 2022 The following remarkable theorem is due to M. S. Smith (email of Mar 06 2022). Theorem: G contains no 4-cycles.</pre>								
	<pre>Proof. Suppose the contrary, and assume the vertices t_1, t_2, t_3, t_4 form a 4-cycle, with edges labeled b_1 = t_1+t_2, b_2 = t_2+t_3, b_3 = t_3+t_4, b_4 = t_4+t_1. The b_i are powers of 2. Since the t_i are distinct, b_1 != b_4, b_2 != b_1, b_3 != b_2, and b_4 != b_3. We also have</pre>								

Open Problems and Challenges

- How does A352178 continue? Nobody knows! (but some lower and upper bounds are known)
- Is it possible to continue A352178, at least in theory? Nobody knew, iterating over all sets with n integers is not possible...

A Logicians View on the Problem

To determine whether $a(n) \ge k$:

- Find integers z_1, z_2, \ldots, z_n $\exists z_1, z_2, \ldots, z_n$
- For every pair i < j an indicator variable $x_{i,j} \in \{0, 1\}$ assigning 1 to $x_{i,j}$ exactly when $z_i + z_j$ is a power of 2 $\exists x_{1,2}x_{1,3} \dots x_{n-1,n} P_2(z_1 + z_2) \rightarrow x_{1,2} = 1 \land$ $\neg P_2(z_1 + z_2) \rightarrow x_{1,2} = 0 \land \cdots$
- The sum of all indicator variables is at least k $x_{1,2} + x_{1,3} + \dots + x_{n-1,n} \ge k$

Büchi Arithmetic

Logical formula obtained is statement in Büchi arithmetic, which is an automatic structure:

- Numbers are just sequences of digits
- Can define DFA for basic relations
- Use closure properties of regular languages under boolean operations, homomorphisms and inverse homomorphisms to decide logical theory



J.R. Büchi (1924 – 1984)



Véronique Bruyère

Presburger Arithmetic

First-order theory of $(\mathbb{N}, 0, 1, +, =)$ Represent $x \in \mathbb{N}^d$ as strings over the alphabet

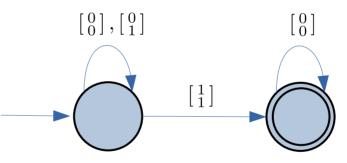
$$\Sigma_d = \left\{ \begin{bmatrix} 0\\0\\\vdots\\0 \end{bmatrix}, \begin{bmatrix} 1\\0\\\vdots\\0 \end{bmatrix}, \begin{bmatrix} 1\\1\\\vdots\\0 \end{bmatrix}, \dots, \begin{bmatrix} 1\\1\\\vdots\\1 \end{bmatrix} \right\}$$

Gadget for x = y:Gadget for x + y = z: $\begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ $\begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ $\begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ $\begin{bmatrix} 1 \\ 0 \end{bmatrix}$ $\begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix}$

Büchi Arithmetic

First-order theory of $(\mathbb{N}, 0, 1, +, V_p, =)$ for fixed p > 1: $V_p(x, y) \Leftrightarrow x$ is the largest power of p dividing y without remainder

Gadget for
$$V_2(x,y)$$
:



<u>Theorem</u> (Büchi, 1960, Bruyere 1985; H., Różycki , 2021) Sets definable in Büchi arithmetic coincide with regular languages. Büchi arithmetic is not model-complete.

Dealing with Negative Numbers

Sloane's problem requires looking for integer solutions:

- Encode numbers in base -2: $23 = 1 \cdot (-2)^0 + 1 \cdot (-2)^1 + 0 \cdot (-2)^2 + 1 \cdot (-2)^3 + 0 \cdot (-2)^4 + 1 \cdot (-2)^5 + 1 \cdot (-2)^6$
- DFA for addition becomes a bit more complicated:

A Partial Answer to the Power-of-Two Problem

The constructed NFA shrink the search space:

• There is a constant c such that to check whether $a(n) \geq k$, it suffices to "only" consider sets with integers in the interval

$$\{-2^{2^{c \cdot n}}, \dots, 2^{2^{c \cdot n}}\}$$

The NFA become huge $-a(3) \ge 3$

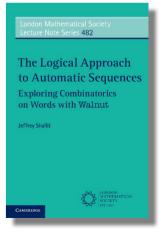
Practical Aspects

The vanilla logical approach not truly advantageous in practice:

- Walnut quickly runs out of resources
- SMT-solvers do not support power-of-two assertions, but ad-hoc approach finds in reasonable time a(18) = 34
- Still a(19) hits the wall



Jeffrey Shallit (*1957)



Solutions May Have Super-Polynomial Bit Length

<u>Theorem</u> (Matthews, 1982) There are infinitely many primes p such that the multiplicative order of two is at least \sqrt{p} .

Multiples of multiplicative order of two definable in Büchi arithmetic:

$$\Phi_p(x) \equiv x > 1 \land P_2(x) \land \exists y \, x - p \cdot y = 1$$

<u>Theorem</u> (Guepin, H., Worrell, 2019) Existential Büchi arithmetic is NP-complete.

An Alternative DFA construction

DFA accepting solutions of system of equations $m{a} \cdot m{x} = c$

$$M = (Q, \{0, 1\}^d, \delta, q_0, F) :$$
• $Q = \mathbb{Z} \cup \{\bot\}$
• $q_0 = 0$
• $\delta(z, \boldsymbol{u}) = 2z + \boldsymbol{a} \cdot \boldsymbol{u}$ for all $z \in \mathbb{Z}$
• $\delta(\bot, \boldsymbol{u}) = \bot$ for all $\boldsymbol{u} \in \{0, 1\}^d$
• $F = \{c\}$
After reading $\boldsymbol{u}_{n-1} \cdots \boldsymbol{u}_0 \in \{0, 1\}^d$ automaton is in state
$$\sum_{i=0}^{n-1} \boldsymbol{a} \cdot \boldsymbol{u}_i$$

Certificates Witnessing Existence of Solutions

Given configurations $q, r \in \mathbb{Z}^m$ of DFA for $A \cdot x = c$ reading solutions in base p, have

q reaches r

there exists
$$k \in \mathbb{N}$$
 and $oldsymbol{u} \in \{0,\dots,p^k-1\}^d$ such that $oldsymbol{r}=p^k\cdotoldsymbol{q}+A\cdotoldsymbol{u}$

To decide existential Büchi arithmetic in NP, guess polynomially many configurations and check reachability

p-Universality

- *p*-universality: Given existential formula of Büchi arithmetic, is it satisfiable in every (prime) base *p*?
- Number of states of DFA for $A \cdot {\boldsymbol{x}} = {\boldsymbol{c}}$ independent of base p

<u>Theorem</u> (H., Mansutti, 2021) Deciding *p*-universality is coNEXP-complete.

Existential Presburger Arithmetic with Divisbility

p-universality cornerstone of decidability of existential Presburger arithmetic with divisibility:

• Atomic formulas:

$$(a_1 \cdot x_1 + \dots + a_d \cdot x_d + a_0) \mid (b_1 \cdot x_1 + \dots + b_d \cdot x_d + b_0)$$

- Generalizes systems of linear congruences
- Smallest solutions can be large: $x_n \ge 2^{2^n}$ for $\Phi_n \equiv x_n > 1 \land \bigwedge_{i=0}^{n-1} x_i > 1 \land (x_i \mid x_{i+1}) \land (x_i + 1 \mid x_{i+1})$

Existential Presburger Arithmetic with Divisbility

Lipshitz (1978) showed certain local-to-global property:

• Every formula Φ is equi-satisfiable with some Ψ in *increasing form* such that Ψ has a solution in \mathbb{Z} iff

 Ψ has a solution modulo every prime p, i.e., for every $f(\boldsymbol{x}) \mid g(\boldsymbol{x})$ in Ψ , find \boldsymbol{x}_p such that $f(\boldsymbol{x}_p) \neq 0, \ v_p(f(\boldsymbol{x}_p)) \leq v_p(g(\boldsymbol{x}_p))$

• Allows to deduce NEXP upper bound

Complexity of Increasing Formulas

<u>Theorem</u> (Defossez, H., Mansutti, Perez, 2023) <u>Increasing formulas of existential Presburger</u> arithmetic with divisibility are decidable in NP.

- Show that only a polynomial number of prime numbers are essential for local-to-global property
- Then perform polynomial number of queries to existential Büchi arithmetic

From Local to Global Solutions

Going from local to global solutions requires combining solutions modulo $p\ {\rm via}$ the Chinese remainder theorem

 $\begin{array}{l} \underline{\text{Theorem}} \text{ (Defossez, H., Mansutti, Perez, 2023)} \\ \text{If a system of congruences and non-congruences} \\ x \equiv b_m \pmod{m} \qquad \qquad m \in M \\ x \not\equiv c_{q,i} \pmod{q} \qquad \qquad q \in Q \subseteq \mathbb{P}, 1 \leq i \leq d \\ \text{has a solution then it has a solution bounded by} \\ \prod M \cdot ((d+1) \cdot \#Q)^{4(d+1)^2(3+\ln\ln(\#Q+1))} \end{array}$

Integer Programming with GCD constraints

With further technical developments, can show smallmodel property for generalisation of integer programming: minimize $c \cdot x$

subject to
$$A \cdot \boldsymbol{x} \leq \boldsymbol{b}$$

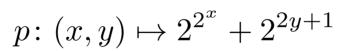
 $gcd(f_i(\boldsymbol{x}), g_i(\boldsymbol{x})) \sim_i d_i, \qquad 1 \leq i \leq k$

<u>Theorem</u> (Defossez, H., Mansutti, Perez, 2023) If an instance of IP-GCD is feasible then it has a solution (and an optimal solution, if one exists) of polynomial bit length. Hence, IP-GCD feasibility is NP-complete.

Semenov arithmetic

First-order theory of the structure $(\mathbb{N}, 0, 1, +, 2^x)$

- Shown decidable by Semenov in 1984
- Model-complete and admits quantifier eliminiation (Cherlin and Point, 1986)
- Non-elementary complexity in general:





• Existential fragment decidable in NEXP (Benedikt et al. 2023), but also decidable if regular predicates allowed?

Semenov arithmetic is not automatic

<u>Theorem</u> (Khoussainov, Nerode, 1995) If $f: \mathbb{U}^n \to \mathbb{U}$ is a function whose graph is a regular relation then there is a constant C > 0 such that for all $u_1, \ldots, u_n \in \mathbb{U}$:

$$|f(u_1,\ldots,u_n)| \le \max(|u_1|,\ldots,|u_n|) + C$$

- No such constant exists for $f \colon x \mapsto 2^x$
- Quantifier-elimination incompatible with regular predicates, since theory undecidable if V_2 included

Power functions

Suppose we have $x = 2^y$ then:

 $\begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} \cdots \\ \cdots \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix}$

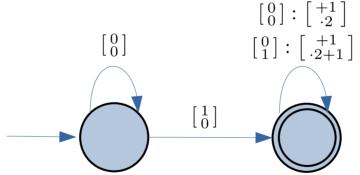
- Length of string of tailing zeros of x equals value of y
- Keep two counters when reading tuple of numbers:
 - First counter tracks number of tailing zeros of x
 - Second counter computes value of y
- Accept if both counters have the same value

Affine Vector Addition Systems with States (VASS)

- Finite-state automata with finite number of counters taking values from $\ensuremath{\mathbb{N}}$
- Transitions update counters by affine functions $f \colon x \mapsto a \cdot x + b, \ a, b \in \mathbb{Z}$
- Languages closed under union and intersection

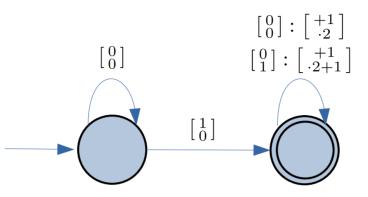
<u>Theorem</u> (Finkel, Göller, H., 2013; Reichert, 2015; Jaax, Kiefer, 2020) Reachability in affine VASS with a single counter is PSpace-complete and undecidable for two counters. Affine VASS for power functions Suppose we have $x = 2^y$ then: $\begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix}$





Accept if in accepting state and both counters have same value

Restricted affine VASS



- Counters can be grouped into pairs
- Once first counter of pair has value 1, it gets incremented and only incremented at every transition
- Languages of restricted affine VASS closed under union and intersection

A counter elimination procedure

For deciding emptiness with arbitrary number of pairs:

- Guess ordering in which counters become non-zero
- Successively eliminate counters until finite-state automaton is obtained with language equi-nonempty
- Number of control states squares in every iteration

<u>Theorem</u> (Draghici, H., Manea, 2023) Deciding language emptiness of restricted affine VASS is in EXPSpace.

A Decision Procedure

To decide existential $(\mathbb{N}, 0, 1, +, 2^x, (R_k)_{k>0})$

- Formula given as positive Boolean combination of
 - linear equations $a_1 \cdot x_1 + \cdots + a_n \cdot x_n = b$
 - $R_i(x_1,\ldots,x_{d_i})$
 - applications of powering function $x = 2^y$
- Yields equi-nonempty exponential-size restricted affine VASS

<u>Theorem</u> (Draghici, H., Manea, 2023) Existential $(\mathbb{N}, 0, 1, +, 2^x, (R_k)_{k>0})$ is in EXPSpace.

String Constraints

Two-sorted logic with (subset of) consisting of:

• Systems of equations of the form:

 $x \cdot y = z, \ x, y, z \in \{0, 1\}^*$

• Length function $\ell \colon \{0,1\}^* \to \mathbb{N}$:

 $\ell \colon b_0 \cdots b_k \mapsto k+1$

- Number-to-string conversions $\operatorname{nr2str}: \mathbb{N} \to \{0, 1\}$? $\operatorname{nr2str}: n \mapsto \left\{ b_0 \cdots b_k : n = \sum_{i=0}^k 2^i \cdot b_i \right\}$ • Regular membership: R(x)
- Presburger constraints: $\Phi(u_1, \ldots, u_n)$

Word equations

<u>Theorem</u> (Makanin, 1977; Jez 2017) Simple word equations are decidable in nondeterministic linear space.

<u>Theorem</u> (Berzish et al., 2021) String constraints with length constraints, number-tostring functions and regular language membership are undecidable.

A decidable fragment

<u>Theorem</u> (Draghici, H., Manea, 2023) The existential theory of string constraints with length constraints

- number-to-string constraints, and
- regular language membership

polynomial-time reduces to $(\mathbb{N}, 0, 1, +, 2^x, (R_k)_{k>0})$ and is hence decidable in EXPSpace.

Idea: Map $s \in \{0,1\}^*$ to $1 \cdot s$